 ** **

**ANNEXTURE:**

**ENVIRONMENTAL AND SOCIAL MANAGEMENT PLAN (ESMP) FOR MALAWI FOOD SYSTEMS RESILIENCE PROGRAM’S (MFSRP) CONTINGENT EMERGENCY RESPONSE COMPONENT (CERC)**

1. **INTRODUCTION**

The AGCOM CERC is restricted to activities that were originally identified and described within the approved Project Appraisal Document (PAD) and Environmental and Social Management Framework (ESMF). All proposed CERC activities will follow the descriptions already available. No new activities within other sectors or operations will be included.

1. **SUMMARY OF POTENTIAL IMPACTS AND RISKS**

Implementation of the activities will be positive and urgently needed, especially for those that will have been affected by natural disasters. The proposed interventions have a very low risk on the environmental and social aspects. However, in as much as the activity seem low, the process involved has substantial risks such as road traffic accidents, natural resource and asset damage, operational health and safety risks for drivers and other social related risks. All of the identified negative impacts can be reduced, managed or in some cases avoided, with timely implementation of the mitigation measures outlined in this ESMP and training of loading and offloading personnel in manual handling/safe lifting techniques as part of the mitigation of worker accidents during loading/offloading and remote driver behavior monitoring via telematics, daily vehicle inspections, defensive driver training, random breath alcohol test, etc as part of the mitigations for road traffic accidents.

**Potential Environmental Health Risks**. Potential environmental risks and impacts include Occupation Health and Safety (OHS) concerns and risk of traffic accidents and injuries during maize transportation and delivery to various distribution centers.

**Potential Social Risks**: The possible social risks during CERC interventions shall include risks of Gender Based Violence (GBV); Sexual Abuse and Exploitation (SEA); discrimination; corruption; fraud; conflicts; political influence as we are approaching elections, violence in general, and increased spread of HIV specifically during delivery and distribution and the potential for elite capture and exclusion of vulnerable groups if agreed procedures for distribution of maize are not applied.

1. **SPECIFIC IMPACTS AND RISKS**

The CERC intervention will involve a number of specific activities, each generating both environmental and social impacts. Table 2 below is summary of the anticipated impacts and possible mitigation measures.

**Table 2. Potential Risks from the CERC activities**

|  |  |  |
| --- | --- | --- |
| **Activity** | **Impact/Risk** | **Mitigation Measures** |
| **Procurement and delivery of maize** WFP will engage transporters to deliver the maize to designated delivery points  | * Contracts riddled with allegations of corruption and nepotism from unsuccessful bidders
 | * Use already existing Grievance Redress Mechanism at all levels
 |
| * Worker accidents during loading/off-loading
 | * WFP safeguards team orient workers on health and safety issues
* WFP to provide appropriate PPE to workers (work suits, masks)
 |
| * Community accidents caused by transporters as they travel to deliver the maize to distribution centres
 | * WFP through their EHSO must ensure all vehicles are well-serviced and in good condition.
* Orient Transporters on Safeguards requirements of the CERC intervention (prior to commencement of deliveries)
* Set Standard Operating Procedures (SOPs) for routine vehicle inspection prior to loading/dispatching for all transporters
* Hire personnel to ensure transporter adherence to Vehicle Inspection SOPs (including compliance to weigh bridge procedures to avoid overloading of vehicles)
* Ensure that all transporters have a speed tracking system
 |
| * Local employment creation- loading/off-loading inputs
 | * Enhance employment of the locals including female employees
 |
|  | * Unfair labour practices- underpayment and late payment by suppliers
 | * Ensure that workers are paid according to the government’s minimum wage through workers interviews and data from the grievance logbooks
* Ensure that payment to suppliers is done in time
* Establish workers GRM and make it accessible for all transporters.
 |
|  | * Risk of Child exploitation/labour (during off-loading of the commodity)
 | * Use IDs to prevent employing/engaging under age
 |
| **Distribution**Registered beneficiaries will receive the maize from designated distribution points | * Long distances from the distribution centres may affect women, the elderly and persons with disabilities
 | * Provide adequate operation funds to ensure that the maize is distributed closer to the beneficiaries
* Work with the district to identify distribution centres that are closer to the beneficiaries
 |
|  | * Risks of sell of the maize to generate income for immediate needs
 | * Conduct community sensitisation prior to distribution
 |
|  | * Conflicts during distribution of the commodity
 | * Transparent distribution through full involvement of community leaders;
* Ensure availability of security personnel where necessary
 |
|  | * Gender Based Violence; Sexual Exploitation and Abuse; and exacerbated risk of the spread of HIV during distribution especially against female beneficiaries
 | * Conduct community sensitisation prior to distribution
* Develop Code of Conduct and ensure that Transporters (including their drivers) sign code of conduct to ensure compliance
 |

1. **ENVIRONMENTAL AND SOCIAL MANAGEMENT PLAN**

Table 3 presents the ESMP for this CERC

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Expected Environmental /Social impacts** | **Proposed Mitigation/Enhancement Measures** | **Output indicators** | **Target** | **Responsibility For implementing Mitigation Measures** | **Responsibility for monitoring the implementation of Mitigation Measures** | **Date of implementation** | **Required inputs** |
| **Negative Impacts** |
| 1. **Procurement and delivery of maize**
 |
| Contracts riddled with allegations of corruption and nepotism from unsuccessful bidders | Use already existing GRM at all levels | No of grievances received and resolved | 0 | All stakeholders | PIU, MoA, DODMA | On going | GRM log books |
| Uphold transparency principles in the procurement process as per guidelines |
| Damage during transit | Deliver Maize directly to the targeted beneficiaries to minimize storage losses | Volume of maize distributed directly to the targeted beneficiary distribution centers  | TBD | WFP | PIU, MoA, DODMA | Throughout the project cycle |  |
|  | Ensure all vehicles are well covered to protect from rains |  |  |  |  |  |  |
| Worker accidents during loading/off-loading | Orient workers on health and safety issues | No of workers oriented | TBDTBD | WFP -EHSSOWFP-EHSSO | MoA, PIU, DODMAMoA, PIU, DODMA | Before delivery Throughout distribution exercise |  Masks, Safety boots, Work suitsLog Forms/ books |
| Provide appropriate PPE to workers in all the delivery points (work suits, masks). | No of workers with and using PPE |
| Establish and operate workers occupational health and safety committees for all transporters | Number of occupational health and safety Committees established and operational |
| Community accidents caused by transporters as they travel to deliver the maize to designated delivery points | Ensure transportation vehicles are well serviced/in good condition (with valid insurance, CoF) | No of vehicles inspected and passing road worthiness | All | WFP -EHSSO | PIU, MoA, DODMA | Throughout the delivery exercise |  |
| Ensure all drivers have valid driving licenses | No of drivers with valid license | All | WFP -EHSSO | PIU, MoA, DODMA | Throughout the delivery exercise |  |
| Ensure Drivers are adhering to speed limits by contracting transporters with speed-tracking devices | No of vehicles with speed tracking devices | All | WFP -EHSSO | PIU | Throughout the delivery exercise |  |
| remote driver behaviour monitoring via telematics | No. of drivers being monitored | All | WFP -EHSSO | PIU | Throughout the delivery exercise |  |
| defensive driver training | Number of drivers with defensive driving Certificate | All | WFP -EHSSO | PIU | Throughout the delivery exercise |  |
| random breath alcohol test | Number of alcohol breathyser tests conducted | All | WFP -EHSSO | PIU | Throughout the delivery exercise |  |
| Set Standard Operating Procedures (SOP)/checklist for routine vehicle inspection prior to loading/dispatching for all transporters | SOP in place | 1 | WFP -EHSSO | PIU | Throughout the delivery exercise |  |
| Orient Transporters and WFP district officers on Safeguards requirements of the CERC intervention (prior to commencement of deliveries) | No of orientation sessions conducted | TBD | WFP -EHSSO | PIU | Before distribution |  |
| Hire/designate personnel to ensure transporter adherence to Vehicle Inspection SOPs (including compliance to weigh bridge procedures to avoid overloading of vehicles) | No of personnel hired | TBD | WFP -EHSSO | PIU | Planning phase |  |
| Excessive fatigue for drivers | Ensure drivers are having enough time to rest – Proper fatigue management strategies (Adherence to approved daily working hours)The drivers should not deliver maize after 4:30 pm.  | Adherence to acceptable work hours per driver  | 100% | WFP -EHSSO and Transporter | WFP, PIU | Throughout the distribution exercise |  |
| Driver and loaders/unloaders musculoskeletal injuries.   | Observe working hours as set y Ministry of Labor and WFP.Observe breaks in between loading and offloading.training of loading and offloading personnel in manual handling/safe lifting techniques. | Adherence to acceptable work hours per driver or loader (8 hours inclusive 1 hour lunch break) | 100% | WFP -EHSSO and Transporter | WFP, PIU | Throughout the distribution exercise |  |
| Risk of Child exploitation/labour (during off loading at delivery points) | Use IDs to prevent employing under age | No of children employed | 0 | District Council | PIU, DODMA, WFP | Throughout the distribution exercise |  |
| 1. **Distribution**
 |
| Long distances from the distribution centres may affect women, the elderly and persons with disabilities | Total number of maize distribution done at the actual planned distribution centers  | All | TBD | DODMA, District council | MoA/ PIU/ Distribution Committees | Throughout the distribution exercise |  |
| Risks of sell of the maize to generate income for immediate needs | Conduct community sensitisation prior to distribution | No of community members sensitized | TBD | DoDMA, District Agriculture Office | MoA | Throughout the project cycle |  |
| Risk of Accidents/stampede | Conduct community sensitizations including use of community radios and engaging with the community policing forum to support with provision of order prior to distributionUse a rope as a crowd control measure | No of community members sensitizedNo of locationsNo of itemsNo. of items | TBDTBDTBDTBD | District Team, food distribution committeeContractor (WFP)/ Sub Contractor (Transporter)Contractor (WFP)/ Sub Contractor (Transporter)Contractor (WFP)/ Sub Contractor (Transporter) | DoDMAPIU, District Council, DoDMAPIU, District Council, DoDMAPIU, DoDMA, Council | Throughout the project cycleThroughout the project cycleThroughout the project cycleThroughout the project cycle |  |
|  | Clean up hydrocarbon spills that may result from accidents. |  |  |  |  |  |  |
|  | Restore and rehabilitate any natural resource that has been affected or degraded during delivery period. | # of natural resources restored/rehabilitated | TBD | Contractor (WFP) and Sub contractor (transporter) | WFP | Throughout the project cycle |  |
|  | Compensate for all assets that might have been lost/damaged. | No of compensations made | TBD | Contractor (WFP) and Sub contractor (transporter) | WFP | Throughout the project cycle |  |
| Potential conflicts risk | Transparent distribution through full involvement of community leaders and existing Grievance Redress structures | No. of community leaders engaged | TBD | DoDMA, District Agriculture Office | MoA/ PIU/ Distribution Committees | Throughout the distribution exercise |  |
| Ensure availability of security personnel where necessary | No. of security personnel engaged | TBD | DoDMA, District Agriculture Office | MoA/ PIU/ Distribution Committees | Throughout the distribution exercise |  |

1. **GENERIC REQUIREMENTS FOR SUPPLIERS AND OTHERS**
2. **Code of Conduct**

AGCOM 2 Code of Conduct has been developed to prevent and/or mitigate the social risks in all project interventions. Social risks in a project are many but this Code of Conduct is very particular to Child Abuse and Exploitation (CAE), Gender Based Violence (GBV), HIV & AIDS and Sexual Exploitation and Abuse (SEA). WFP and the supplier/transporter shall therefore adapt/adopt the AGCOM Code of Conduct at the onset of the project, and also ensure that all his staff and workers are aware of, understand and sign the Code of Conduct as attached under Annex 1 of the ESMP.

1. **Grievance Redress Mechanism**

This CERC will adopt the existing AGCOM Grievance Redress Mechanism (GRM). The GRM seeks to identify grievances, complaints and queries, to receive feedback from all levels (community, district, and national) and to address these promptly and within a defined timeframe, without compromising project timelines.

1. **SAFEGUARDS IMPLEMENTATION SUPERVISION AND MONITORING**

The ESMP will be implemented by WFP safeguards personnel. PIU in collaboration with the Department of Agriculture Extension Services (DAES), DODMA, the Environmental Affairs Department (EAD) shall track progress in the implementation of safeguards.

Table 4 below presents a summary of the key parameters to be monitored.

**Table 4: Summary of key parameters to be monitored**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Monitoring parameter** | **Indicator**  | **Methods** | **Frequency** | **Responsibility for reporting** |
| **Social parameters** |
| Occupational, Healthy and Safety | No of workers provided with and using PPE | Physical verification | Monthly | WFP |
| No of workers trained in OHS into the various trainings such as defensive driving, manual handling, safe lifting | Reports | Monthly | WFP |
|  | Vehicle service inspection Reports | Reports | Quarterly  | WFP |
|  | No of workers signed CoC | Reports | Monthly | WFP |
|  | No of vehicle complied to the inspection standard operating procedure | Reports | Monthly | WFP |
|  | No of Report on weighbridge load compliance  | Weigh bridge copies | weekly | WFP |
| Community Health and Safety | No of accidents reported | Reports | Monthly | WFP, district council |
| Cases of theft | No of theft cases reported and recorded | Reports | Monthly | District Council |
| Sexual Exploitation and Abuse (SEA) | No of SEA cases reported | Reports | Monthly | WFP |
| No of SEA cases resolved | Reports | Monthly | WFP |
| Child Abuse and Exploitation (CAE) | No of CAE cases reported | Reports | Monthly | WFP, District Councils |
| No of CAE cases resolved | Reports | Monthly | WFP, District councils |
| Grievances | No of grievances reported and recorded | Reports | Monthly | District Council, WFP |
| No of grievances resolved | Reports | Monthly | District Council, WFP |
| Labour practices | No of cases of underpayment | Reports | Monthly | District Council, WFP |
| No of cases of delayed payment | Reports | Monthly | District Council, WFP |

1. **CAPACITY BUILDING AND TRAINING**

Effective implementation of this ESMP necessitates public sensitizations and campaigns to be able to understand the need to observe safeguards requirements under the project. Hence, part of this CERC intervention will involve the sensitization of stakeholders and the orientation of suppliers and transporters on Code of Conduct, safety measures etc.

1. **ESMP IMPLEMENTATION BUDGET**

The total budget for implementing environmental and social safeguards activities for this CERC is estimated at US$ 200,000 where the US$ 200,000 will be used for the actual implementation of the environmental and social Management Plan (ESMP) including the recruitment of the competent EHSSO by WFP and the training and orientation of WFP district staff, DODMA district staff and the EHSSO on the World Bank environmental and social Management framework- ESMF by PIU safeguards staff.

**ANNEX 2: CODE OF CONDUCT FOR SUPPLIERS AND TRANSPORTERS**

Suppliers including their transporters are obliged to create and maintain an environment which prevents social risks. They have the responsibility to communicate clearly to all those engaged on the project the behaviours which guard against any form of abuse and exploitation. In order to prevent social risks, the following core principles and minimum standards of behaviour will apply to all suppliers without exception:

1. GBV or VAC constitutes acts of gross misconduct and are therefore grounds for sanctions, penalties and/or termination of employment and/or contract. All forms of social risks including grooming are unacceptable at the distribution centres.
2. Treat women, children (persons under the age of 18) and people with disability with respect regardless of race, colour, language, religion, political or other opinion, national, ethnic, cultural beliefs/practices, or other status.
3. Do not over speed but observe speed limits and do not travel at night as per
4. travel Do not use language or behaviour towards men, women or children that is inappropriate, harassing, abusive, sexually provocative, demeaning or culturally inappropriate.
5. Sexual activity with children/learners under 18 (including through digital media) is prohibited. Mistaken belief regarding the age of a child and consent from the child is not a defence.
6. Exchange of money, employment, goods, or services for sex, including sexual favours or other forms of humiliating, degrading or exploitative behaviour is prohibited.
7. Sexual interactions between supplier’s employees (including transporters) and communities surrounding the distribution centres that are not agreed to with full consent by all parties involved in the sexual act are prohibited. This includes relationships involving the withholding, promise of actual provision of benefit (monetary or non-monetary) to community members in exchange for sex.
8. All employees/transporters for the supplier are required to attend an induction prior commencement of the distribution exercise to ensure they are familiar with the social risks and Codes of Conduct.
9. The Supplier shall ensure that employees/transporters dress appropriately i.e., dress in a way that: -
* Is unlikely to be viewed as offensive, revealing, or sexually provocative.
* Does not distract, cause embarrassment or give rise to misunderstanding
* Is absent of any political or otherwise contentious slogans
* Is not considered to be discriminatory and is culturally sensitive
1. The Supplier shall comply with the national, international labour laws and all applicable laws.
2. All Suppliers shall ensure that their employees/transporters sign an individual Code of Conduct confirming their agreement to support prevention of social risks activities.
3. Where possible, the Supplier shall ensure employment of local workforces especially where unskilled labour is required to mitigate social risks e.g offloading of inputs from the tracks

I do hereby acknowledge that I have read the foregoing Code of Conduct, do agree to comply with the standards contained therein and understand my roles and responsibilities. I understand that any action inconsistent with this Code of Conduct or failure to take action mandated by this Code of Conduct may result in termination of the contract.

FOR THE SUPPLIER

Signed by: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

ANNEX 3: **STANDARD OF OPERATION PROCEDURES (SOPS) FOR PREVENTION OF COMMUNITY ROAD ACCIDENTS**

Below is the Community accident prevention standard of operation procedures intended to help reduce and prevent accidents and incidents caused by transporters as they travel to deliver the maize to designated delivery points.

|  |  |  |
| --- | --- | --- |
| **Action** | **Responsible party** | **Time frame** |
| vehicle inspections (Use a checklist in Annex 4) | WFP | Monthly |
| Loading inspections and Load limit verification | WFP  | Daily |
| vehicle service verification | AGCOM-PIU  | Monthly |
| Weighbridge compliance  | WFP  | daily |
| Weighbridge compliance Verification | AGCOM | daily |
| All vehicles should have valid insurance and COF | WFP | Daily |
| All drivers should have valid driving licenses | WFP | Daily |
| Drivers should adhere to speed limits by contracting transporters with speed-tracking devices | WFP | before the commencement of deliveries |
| Orient Transporters and WFP district officers on Safeguards requirements of the CERC intervention  | WFP | before the commencement of deliveries |
| Driver Adherence to approved daily working hours -Proper fatigue management strategies  | WFP | Daily |
| Train all drivers on defensive driving skills | WFP | before the commencement of deliveries |
| Sensitizations to the communities on the dangers of children playing along the roads  | DODMA and District councils | before the commencement of deliveries |
| Notify the general public of the likely traffic influx in the communities due to the maize Distribution vehicles –Use of radio jiggle on community radio | WFP | before the commencement of deliveries |
| Ensure drivers do not operate the vehicles under intoxication- administering breathalyzers  | WFP | Daily |
| Route assessment before distribution –to prevent the collapsing of bridges | WFP | before the commencement of deliveries |
| Install and operate remote driver behavior monitoring via telematics | WFP | before the commencement of deliveries and throughout activities implementation  |
| All transporters to sign the Code of Conduct (including their drivers) | WFP | before the commencement of deliveries |
| Reporting of incidents and accidents to AGCOM with necessary details as required by form B and Form C ( Refer to Annex 5 & 6) | WFP and transporters  | Within 24 hour period |
| Hire designated occupational Health and safety personnel to ensure transporter adherence to Vehicle Inspection SOPs  | WFP | before the commencement of deliveries |
| Reporting of accidents and incidents to World Bank in line with form B and Form C ( Refer to Annex 5 & 6) | AGCOM -PIU | Within 24 hr period |

**ANNEX 4: STANDARD VEHICLE INSPECTIONS CHECKLIST**

WFP and the transportation subcontractors to regularly use this checklist to help keep up the maize transportation logistic vehicles safe and in good working order. If the inspection activity finds any issues, the vehicle should be grounded to have the issues addressed by a professional mechanic as soon as possible and should under no circumstance be allowed to go on any maize delivery exercise.

Here's is comprehensive vehicle inspection checklist to be used to ensure the maize distribution vehicles are in good working condition:

1. **Exterior Inspection**

**Lights**: Ensure all headlights, tail lights, brake lights, and turn signals are functioning.

**Mirrors**: Check the condition of side and rear-view mirrors.

**Wipers and Washers**: Verify that wipers are in good condition and washer fluid is topped up.

**Tires**: Inspect tire tread depth, pressure, and for any visible damage or wear.

1. **Under the Hood**

**Engine Oil**: Check oil level and look for any leaks.

**Coolant**: Ensure coolant levels are adequate and look for leaks.

**Brake Fluid**: Check the level and condition of brake fluid.

**Battery**: Inspect for corrosion and check the battery charge.

**Belts and Hoses**: Look for any signs of wear or cracks.

1. **Interior Inspection**

**Seats and Seatbelts**: Ensure seats are secure and seatbelts are working properly.

**Dashboard Indicators**: Verify that all dashboard warning lights function.

**Air Conditioning and Heating**: Test to make sure both are operational.

**Horn**: Check that the horn works.

**Windows and Locks**: Test all windows and door locks.

1. **Operational Check**

**Brakes**: Test brake performance and listen for any unusual noises.

**Steering**: Ensure the steering is responsive and there are no strange vibrations.

**Transmission**: Check for smooth gear shifting.

**Suspension**: Look for any signs of wear or damage and ensure the ride is smooth.

**Exhaust System**: Listen for unusual noises and check for any visible damage or leaks.

1. **Additional Checks for Road Traffic Act Compliance**

**Registration and Insurance**: Verify that the vehicle's registration and insurance are up to date.

**Emergency Kit**: Ensure you have an emergency kit, including a spare tire, jack, and first aid kit.

**Fuel Level**: Make sure the fuel tank is adequately filled.

Annex 5 ESIRT forms

**Part B: To be completed by Borrower within 24 hours**

|  |
| --- |
| **B1: Incident Details** |

|  |  |  |  |
| --- | --- | --- | --- |
| **Date of Incident:**  | **Time:**  | **Date Reported to PIU:**  | **Date Reported to WB:**  |
| **Reported to PIU by**:  | **Reported to WB by**:  | **Notification Type**: Email/’phone call/media notice/other |
| **Full Name of Main Contractor**:  | **Full Name of Subcontractor**:  |

|  |
| --- |
| **B2**: **Type of incident (please check all that apply)**1 |
| Fatality **☐** Lost Time Injury **☐** Displacement Without Due Process **☐** Child Labor **☐** Acts of Violence/Protest **☐** Disease Outbreaks **☐** Forced Labor ☐ Unexpected Impacts on heritage resources **☐** Unexpected impacts on biodiversity resources **☐** Environmental pollution incident **☐** Dam failure **☐** Other **☐** |

1See Annex 1 for definitions

|  |
| --- |
| **B3: Description/Narrative of Incident** |
| *Please replace text in italics with brief description, noting for example:*1. *What is the incident?*
2. *What were the conditions or circumstances under which the incident occurred (if known)?*
3. *Are the basic facts of the incident clear and uncontested, or are there conflicting versions? What are those versions?*
4. *Is the incident still ongoing or is it contained?*
5. *Have any relevant authorities been informed?*
 |

|  |
| --- |
| **B4: Actions taken to contain the incident**  |
| **Short Description of Action** | **Responsible Party** | **Expected Date** | **Status** |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
| **For incidents involving a contractor:**Have the works been suspended (for example, under GCC8.9 of Works Contract)? Yes ☐; No ☐;Trading name of Contractor (if different from B1): Please attach a copy of the instruction suspending the works. |

|  |
| --- |
| **B5: What support has been provided to affected people** |
|  |

**Annex 1: Incident Types**

The following are incident types to be reported using the environmental and social incident response process:

**Fatality**: Death of a person(s) that occurs within one year of an accident/incident, including from occupational disease/illness (e.g., from exposure to chemicals/toxins).

**Lost Time Injury**: Injury or occupational disease/illness (e.g., from exposure to chemicals/toxins) that results in a worker requiring 3 or more days off work, or an injury or release of substance (e.g., chemicals/toxins) that results in a member of the community needing medical treatment.

**Acts of Violence/Protest**: Any intentional use of physical force, threatened or actual, against oneself, another person, or against a group or community, that either results in or has a high likelihood of resulting in injury, death, psychological harm, deprivation to workers or project beneficiaries, or negatively affects the safe operation of a project worksite.

**Disease Outbreaks**: The occurrence of a disease in excess of normal expectancy of number of cases. Disease may be communicable or may be the result of unknown etiology.

**Displacement Without Due Process:** The permanent or temporary displacement against the will of individuals, families, and/or communities from the homes and/or land which they occupy without the provision of, and access to, appropriate forms of legal and other protection and/or in a manner that does not comply with an approved resettlement action plan.

**Child Labor:** An incident of child labor occurs: (i) when a child under the age of 14 (or a higher age for employment specified by national law) is employed or engaged in connection with a project, and/or (ii) when a child over the minimum age specified in (i) and under the age of 18 is employed or engaged in connection with a project in a manner that is likely to be hazardous or interfere with the child’s education or be harmful to the child’s health or physical, mental, spiritual, moral or social development.

**Forced Labor**: An incident of forced labor occurs when any work or service not voluntarily performed is exacted from an individual under threat of force or penalty in connection with a project, including any kind of involuntary or compulsory labor, such as indentured labor, bonded labor, or similar labor-contracting arrangements. This also includes incidents when trafficked persons are employed in connection with a project.

**Unexpected Impacts on heritage resources**: An impact that occurs to a legally protected and/or internationally recognized area of cultural heritage or archaeological value, including world heritage sites or nationally protected areas not foreseen or predicted as part of project design or the environmental or social assessment.

**Unexpected impacts on biodiversity resources**: An impact that occurs to a legally protected and/or internationally recognized area of high biodiversity value, to a Critical Habitat, or to a Critically Endangered or Endangered species (as listed in IUCN Red List of threatened species or equivalent national approaches) that was not foreseen or predicted as part of the project design or the environmental and social assessment. This includes poaching or trafficking of Critically Endangered or Endangered species.

**Environmental pollution incident**: Exceedances of emission standards to land, water, or air (e.g., from chemicals/toxins) that have persisted for more than 24 hrs or have resulted in harm to the environment.

**Dam failure**: A sudden, rapid, and uncontrolled release of impounded water or material through overtopping or breakthrough of dam structures.

**Other**: Any other incident or accident that may have a significant adverse effect on the environment, the affected communities, the public, or the workers, irrespective of whether harm had occurred on that occasion. Any repeated non-compliance or recurrent minor incidents which suggest systematic failures that the task team deems needing the attention of Bank management.

**Part C: To be completed by Borrower (following investigation)**

|  |
| --- |
| **C1: Investigation Findings** |
| *Please replace text in italics with findings, noting for example:*1. *where and when the incident took place,*
2. *who was involved, and how many people/households were affected,*
3. *what happened and what conditions and actions influenced the incident,*
4. *what were the expected working procedures and were they followed,*
5. *did the organization or arrangement of the work influence the incident,*
6. *were there adequate training/competent persons for the job, and was necessary and suitable equipment available,*
7. *what were the underlying causes; where there any absent risk control measures or any system failures,*
 |

|  |
| --- |
| **C2: Corrective Actions from the investigation to be implemented (To be fully described in Corrective Action Plan)**  |

|  |  |  |
| --- | --- | --- |
| **Action** | **Responsible Party** | **Expected Date** |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

**Part C cont.: To be completed by Borrower (following investigation)**

|  |
| --- |
| **C3a: Fatality/Lost time Injury information****Immediate cause of fatality/injury for worker or member of the public (please check all that apply)** 2**:****1. Caught in or between objects** ☐ **2. Struck by falling objects** ☐ **3. Stepping on, striking against, or struck by objects** ☐ **4. Drowning** ☐ **5. Chemical, biochemical, material exposure** ☐ **6. Falls, trips, slips** ☐ **7. Fire & explosion** ☐ **8. Electrocution** ☐ **9. Homicide** ☐ **10. Medical Issue** ☐ **11. Suicide** ☐ **12. Others** ☐***Vehicle Traffic:* 13. Project Vehicle Work Travel** ☐ **14. Non-project Vehicle Work Travel** ☐**15. Project Vehicle Commuting** ☐ **16. Non-project Vehicle Commuting ☐ 17.Vehicle Traffic Accident (Members of Public Only) ☐**  |
| **Name** | **Age/DOB** | **Date of Death/Injury** | **Gender** | **Nationality** | **Cause of Fatality/Injury** | **Worker (Employer)/Public** |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

2See Annex 2 for definitions

|  |
| --- |
| **C3b**: **Financial Support/Compensation Types (To be fully described in Corrective Action Plan template)****1. Contractor Direct** ☐ **2. Contractor Insurance** ☐ **3. Workman’s Compensation/National Insurance** ☐**4. Court Determined Judicial Process** ☐ **5. Other** ☐ **6. No Compensation Required** ☐ |
| **Name** | **Compensation Type** | **Amount (US$)** | **Responsible Party** |
|  |  |  |  |
|  |  |  |  |

|  |
| --- |
| **C4: Supplementary Narrative** |
|  |

**Annex 2: Definition of fatality/injury immediate causes**

1. **Caught in or between objects:** caught in an object; caught between a stationary object and moving object; caught between moving objects (except flying or falling objects).

2. **Struck by falling objects:** slides and cave-ins (earth, rocks, stones, snow, etc.); collapse (buildings, walls, scaffolds, ladders, etc.); struck by falling objects during handling; struck by falling objects.

3. **Stepping on, striking against, or struck by objects:** stepping on objects; striking against stationary objects (except impacts due to a previous fall); Striking against moving objects; Struck by moving objects (including flying fragments and particles) excluding falling objects.

4. **Drowning:** respiratory impartment from submersion/emersion in liquid.

5. **Chemical, biochemical, material exposure:** exposure to or contact with harmful substances or radiations.

6. **Falls, trips, slips:** falls of persons from heights (e.g., trees, buildings, scaffolds, ladders, etc.) and into depths (e.g., wells, ditches, excavations, holes, etc.) or falls of persons on the same level.

7. **Fire & explosion:** exposure to or contact with fires or explosions.

8. **Electrocution:** exposure to or contact with electric current.

9. **Homicide:** a killing of one human being by another.

10. **Medical Issue:** a bodily disorder or chronic disease.

11. **Suicide:** the act or an instance of taking, or attempting to take, one’s own life voluntarily and intentionally.

12. **Others:** any other cause that resulted in a fatality or injury to workers or members of the public.

*Vehicle Traffic*

13. **Project Vehicle Work Travel:** traffic accidents in which project workers, using project vehicles, are involved during working hours and which occur in the course of paid work.

14. **Non-project Vehicle Work Travel:** traffic accidents in which project workers, using non-project vehicles, are involved during working hours and which occur in the course of paid work.

15. **Project Vehicle Commuting:** traffic accidents in which project workers, using project vehicles, are involved while travelling to (i) the worker's principal or secondary residence; (ii) the place where the worker usually takes his or her meals; or (iii) the place where he or she usually receives his or her remuneration.

16. **Non-project Vehicle Commuting:** traffic accidents in which project workers, using non-project vehicles, are involved while travelling to (i) the worker's principal or secondary residence; (ii) the place where the worker usually takes his or her meals; or (iii) the place where he or she usually receives his or her remuneration.

17. **Vehicle Traffic Accident (Members of Public Only):** traffic accidents in which non-project workers/members of the public are involved in an accident while travelling for any purpose.